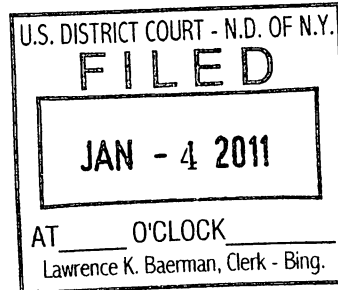


UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA v. *Richard Enrique Ulloa*



Page 1 of 5

CASE No. 10-CR-321

DATE: December 14, 2010

PRESIDING JUDGE: Hon. Thomas J. McAvoy

GVT
(X) Plaintiff

() Defendant

EXHIBIT NO.	DATE MARKED FOR ID	DATE ADMITTED IN EVIDENCE	SOURCE/REMARKS	WITNESS	EXHIBIT DESCRIPTION
1	12-28	12-28		✓ Caliendo 4:31	Washington State UCC Filing
2	12-29	12-29		✓ Vosper 11:04	Declaration and Notice of Final Determination and Judgment in Nihil Decit
3	12-29	12-29	SR	✓ Vosper 11:10	Washington State UCC Filing
4	12-28	12-28		✓ Dwyer 2:04 Spearman Verzi Fox	New York UCC Filing
5	12-28	12-28		Dwyer Spearman 3:02 Verzi Fox	New York UCC Filing
6	12-28	12-28		Frame ✓ Dwyer	Email dated October 20, 2009
7	12-28	12-28		✓ SPEARMAN 3:13 Frame Gartland	Temporary Restraining Order
8	12-28	12-28	SR	✓ SPEARMAN 3:18 Frame Gartland	Decision and Order
9	12-29	12-29		✓ Frame 3:07 Gartland	New York UCC Filing
10	12-29	12-29		✓ VOYCK 4:15 Frame Gartland	New York UCC Filing

Exhibits Returned to Counsel (Date): _____ Signature: _____

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA v. Richard Enrique Ulloa

CASE No. 10-CR-321

Page 2 of 5

DATE: December 14, 2010

PRESIDING JUDGE: Hon. Thomas J. McAvoy

(X) Plaintiff

() Defendant

EXHIBIT NO.	DATE MARKED FOR ID	DATE ADMITTED IN EVIDENCE	SOURCE/REMARKS	WITNESS	EXHIBIT DESCRIPTION
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11	12-29	12-29		✓ Havranek 1:22 Postupak	June 8, 2009, Criminal Complaint
12	12-29	12-29		✓ Havranek 2:17 Postupak	September 29, 2009, Final Demand for Payment
13	12-29	12-29		✓ Havranek 1:32 Postupak	November 2, 2009, Administrative Affidavit of Specific Negative Averment, Opportunity to Cure and Counterclaim
14	12-29	12-29	SC	Schnittman	Notice of Claim of Maritime Lien
15	12-29	12-29		Schnittman 10:31	Supporting documents including mailing receipts
16	12-29	12-29		Schnittman	New York UCC Filing
17	12-29	12-29		✓ Huyck 3:56 Maroney	Summary New York UCC Filings - ULLOA
18	12-29	12-29		✓ Huyck 4:06 Maroney	November 24, 2009 New York UCC Filing Ulloa v. Ulloa

15A 12-29 12-29 ~~Reserved~~ ✓ Schnittman 10:48 ~~Portion of Schnittman Re ENVELOPS~~

Exhibits Returned to Counsel (Date): _____ Signature: _____

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA v. *Richard Enrique Ulloa*

CASE No. 10-CR-321

Page 3 of 5

DATE: December 14, 2010

PRESIDING JUDGE: Hon. Thomas J. McAvoy

(X) Plaintiff

() Defendant

EXHIBIT NO.	DATE MARKED FOR ID	DATE ADMITTED IN EVIDENCE	SOURCE/ REMARKS	WITNESS	EXHIBIT DESCRIPTION
19	12-29	12-29		Huyck 4:09 Maroney Wells Fargo	New York UCC Filing – Wells Fargo, February 12, 2010
20	12-29	12-29		Huyck 4:12 Maroney Wells Fargo	New York UCC Filing – Wells Fargo, February 13, 2010
21	12-29	12-29		Wells Fargo - Cannon Maroney 11:53	Business Record re: mortgage summary of loan ending in 2685
22			1B16	Mounce	Agency Mailing List
23			1B16	Mounce	Attachment A – Property List
24			1B16	Mounce	Legal Notice and Demand
25	12-30	12-30	1B19	Mounce Maroney	American Express Statement
26			1B19	Mounce	Foreclosure File
27	12-30	12-30	1B19	Mounce Maroney	Foreclosure File
28			1B19	Mounce	Denny Hardin File
29	12-30	12-30	1B25	Mounce Maroney	Andrew James File Maritime Liens

Exhibits Returned to Counsel (Date): _____ Signature: _____

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA v. *Richard Enrique Ulloa*

CASE No. 10-CR-321

Page 4 of 5

DATE: December 14, 2010

PRESIDING JUDGE: Hon. Thomas J. McAvoy

(X) Plaintiff

() Defendant

EXHIBIT NO.	DATE MARKED FOR ID	DATE ADMITTED IN EVIDENCE	SOURCE/REMARKS	WITNESS	EXHIBIT DESCRIPTION
30	12-30	12-30	1B25	Maroney Maroney	Original Maritime Liens, Ulster, MHVFCU, Rosendale File
31A	12-29	12-29	Witness	Maroney Frame 2:51	Maritime Lien Corbally, et al
31B	12-28-10	12-28-10	Witness	Maroney Dwyer 2:00 Spearman Verzi Fox	Maritime Lien Mid-Hudson
31C			Witness	Maroney	Maritime Lien Supreme Court of the State of New York
31D	12-29	12-29	Witness	Maroney Vosper 11:02	Maritime Lien Vosper
31E	12-28	12-28	Witness	Maroney Caliendo 4:27	Maritime Lien Caliendo
31F	12-29	12-29	Witness	Maroney Havranek 1:29 Postupak	Maritime Lien Ulster County
32			1C3	Maroney	May 11, 2010, Letter by Defendant to FBI

Exhibits Returned to Counsel (Date): _____ Signature: _____

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA v. *Richard Enrique Ulloa*

CASE No. 10-CR-321

Page 5 of 5

DATE: December 14, 2010

PRESIDING JUDGE: Hon. Thomas J. McAvoy

(X) Plaintiff

() Defendant

EXHIBIT NO.	DATE MARKED FOR ID	DATE ADMITTED IN EVIDENCE	SOURCE/REMARKS	WITNESS	EXHIBIT DESCRIPTION
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33	12-29 12-30	12-29 12-30	1B19 except last page * SR in its entirety	✓ Mounce Maroney	Decision and Order re: Palisades Collection, dated September 25, 2008
34			1B19	Mounce Maroney	Certificates of Service by Mail by ULLOA regarding Schnittman
35			1B19	Mounce Maroney	USPS Track & Confirm by ULLOA
36	12-30	12-30	1B19	✓ Mounce Maroney	Liberty Data.Net Report regarding Schnittman
37			1B19	Mounce Maroney	Demands for Settlement

Exhibits Returned to Counsel (Date): _____ Signature: _____